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#### ABSTRACT

Even though plants containing the alkaloid berberine have been used in Ayurvedic and Chinese medicine for over 2500 years, berberine's potential cardiovascular and metabolic effects have been studied only in the recent past. The objective of this review is to summarize the effects and possible mechanisms of action of berberine when applied to various aspects of the cardiovascular system. Evidence is presented from experimental studies, clinical trials, and meta-analyses accessed via PubMed. Further research is needed, particularly clinical trials, but a growing body of evidence suggests an important role for berberine in the treatment of dyslipidemia, type 2 diabetes, and metabolic syndrome.

**Keywords:** Berberine; Cardiovascular; Endothelium; Cardioprotective; Dyslipidemia

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## INTRODUCTION

Berberine is an intensely yellow-colored, bitter isoquinolone alkaloid that is found in several plants, including *Coptis chinensis* (Chinese goldthread), *Coptis trifolia* (American goldthread), *Mahonia aquifolium* (Oregon grape), *Berberis vulgaris* (common barberry), and *Hydrastis canadensis* (goldenseal).<sup>1</sup> Berberine has been studied extensively for its antimicrobial, immunostimulatory, anticonvulsant, sedative, hypotensive, uterotonic, choleretic, anticancer,<sup>2</sup> antihelminthic,<sup>3</sup> and carminative activities.<sup>4</sup> More recently, its impact on carbohydrate and lipid metabolism, endothelial function, and cardiotonicity have made it one of the most researched alkaloids of the last decade.

# CHEMICAL CHARACTERISTICS AND PHARMACOKINETICS

Berberine is classified as an isoquinoline alkaloid (2,3-methylenedioxy-9,10-di-methoxyprotoberberine chloride  $[C_{20}H_{18}NO_4^+]$ ) and has a molecular mass of 336.36122 g/mol.<sup>5</sup> Figure 1 illustrates its chemical structure. The oral bioavailability of berberine is low (<5%) because of both poor solubility and the presence of P-glycoprotein in the human intestines, which expels the alkaloid from the mucosal lumen.<sup>6</sup> As a consequence, significantly large doses of berberine are needed for a therapeutic effect. Berberine is converted in the gut to dihydroberberine. This biological derivative is five times more absorbable.<sup>7</sup>

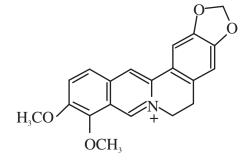


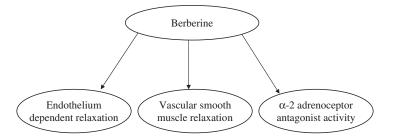
Figure 1: Chemical structure of berberine.

# VASCULAR ENDOTHELIUM AND THE HEART

Endothelial dysfunction contributes to most cardiovascular problems, including plaque initiation and progression, hypertension, coronary artery disease, chronic heart failure, and peripheral artery disease.8 Alteration of endothelial homeostasis is due primarily to proinflammatory cytokines and reduced adiponectin secretion, which are key events in obesity, insulin resistance, and hyperglycemia. These conditions are associated with altered gene expression and cell signaling in the vascular endothelium, thereby affecting release of endothelium-derived factors, activation of NADPH oxidase, uncoupling of endothelial nitric oxide synthase (eNOS), and the expression of endothelin-1. The end result is an imbalance between the production of vasodilator and vasoconstrictor mediators, and the induction of adhesion molecules.9 Figures 2 and 3 illustrate how berberine acts at various levels to restore endothelial homeostasis.

The fundamental mechanism by which berberine appears to have such an extensive impact is likely to involve adenosine monophosphate–activated protein kinase (AMPK). Endothelial AMPK plays a major role in restoring vascular homeostasis by mediating eNOS activation<sup>11</sup>; modulating cellular energy<sup>12</sup>; preventing apoptosis<sup>13</sup>; and regulating inflammation, angiogenesis, and perfusion.<sup>14,15</sup> Berberine inhibits the intracellular accumulation of reactive oxygen species, cellular apoptosis, and inflammation that characterize vascular injury, and these are mostly triggered by hyperglycemia.<sup>10</sup> Berberine thus has antiproliferative and vasoprotective actions.

*In vitro* studies have demonstrated that berberine acts on both endothelial and underlying vascular smooth muscle cells (VSMCs) to induce vasodilation.<sup>16</sup> This action is mediated by eNOS, which leads to nitric oxide (NO) production via the AMPK cascade. Downregulation of eNOS is associated with metabolic conditions that lead to altered insulin signaling, such as diabetes and hypercholesterolemia.<sup>17,18</sup> Berberine, when combined with policosanols and red yeast rice, was shown to have a positive effect on flow-mediated vasodilation in





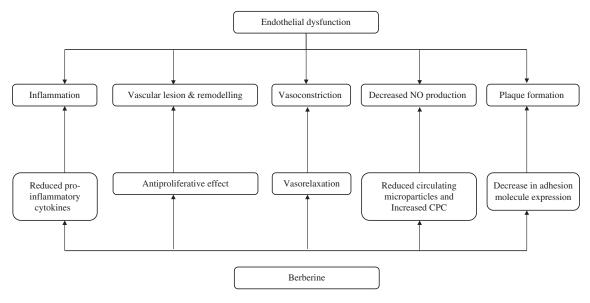


Figure 3: Effects of berberine on endothelium and heart.

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a double-blind, placebo-controlled trial of subjects with hypercholesterolemia.<sup>19</sup>

Berberine reduces the number of adherent monocytes on endothelial cells, which is an early indicator of atherosclerosis. Berberine also suppresses proinflammatory cytokines, which are induced by hyperglycemia and involved in the development of atherosclerotic plaques.<sup>20</sup>

## **BLOOD PRESSURE**

Berberine was shown to reduce blood pressure by competitively inhibiting VSMC  $\alpha_1$  receptors, thereby blocking the release of the enzyme adenylyl cyclase. This resulted in vasodilation and augmented acetylcholine activity.<sup>21</sup> Reduction in blood pressure and vasodilation are caused by both vascular smooth muscle relaxation and endothelium-dependent relaxation. Berberine induced endothelium-dependent relaxation by increasing endothelial NO release.<sup>22</sup> At a dose of 5–10 mg/kg, berberine improved cardiac contractility, inhibited myocardial fibrosis, and reduced cardiac atrophy in a rat hypertension model.<sup>23</sup> Berberine was also shown to function like a calcium channel blocker to reduce high blood pressure and vascular hardening.<sup>24</sup>

### **GLUCOSE REGULATION**

AMPK plays a key role in regulating cellular and whole-body energy homeostasis. Berberine's effects on glucose metabolism are due in part to its activation of AMPK.<sup>25</sup> These effects are summarized in

Figure 4. In a mouse model of diabetes, berberine was shown to modulate the expression of genes that promote catabolism of high-energy intermediates and to promote glucose uptake through a mechanism distinct from insulin. Insulin increases cellular glucose uptake by promoting glucose transporter type 4 (GLUT4) expression on the cell surface through the activation of phosphatidylinositol 3-kinase. By contrast, berberine appeared to induce glucose transport by enhancing GLUT1 gene expression.<sup>26</sup> These effects are mediated by the activation of AMPK, which coordinates both shortand long-term metabolic changes, leading to an improvement in energy production and a reduction in energy storage. Specifically, AMPK activation leads to an increase in the uptake of glucose from the blood to target organs.

Other studies have highlighted complex I, the largest enzyme complex of the mitochondrial respiratory chain, as a major target for berberine.<sup>27</sup> Berberine activates AMPK and induces glycolysis, resulting in lowered insulin resistance and decreased oxygen respiration.<sup>28</sup> Another mechanism underlying the action of berberine on insulin sensitivity is its ability to increase insulin receptor (*InsR*) expression in a dose- and time-dependent manner, thereby promoting cellular glucose uptake in the presence of insulin. Berberine induces InsR gene expression via transcriptional regulation through protein kinase C (PKC). In a mouse model of type 2 diabetes, berberine lowered fasting blood glucose and fasting serum insulin. It increased insulin sensitivity and elevated InsR mRNA, as well as PKC activity in the liver. As expected, berberine did not lower blood glucose in type 1 diabetic mice, because of insulin deficiency.<sup>29</sup> These results were consistent with those of a double-blind, placebo-controlled trial in which berberine administration lowered fasting and postprandial plasma glucose levels, with a slight reduction in postprandial insulin and body weight in patients with type 2 diabetes.<sup>30</sup>

Berberine was also shown to lower fasting blood glucose, hemoglobin A1c, and insulin levels in patients with type 2 diabetes at levels similar

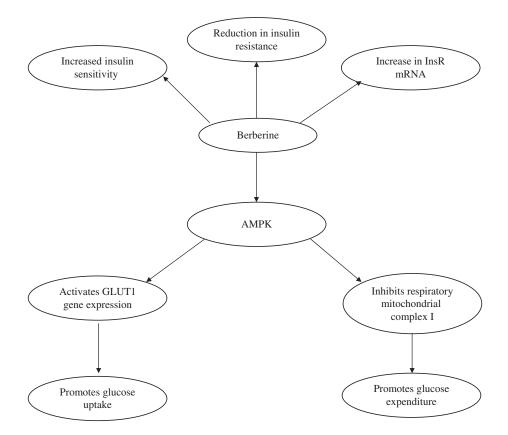


Figure 4: Effects of berberine on glucose metabolism.

to metformin and rosiglitazone, confirming the upregulation of *InsR*.<sup>31</sup> Berberine has an additional advantage over thiazolidinediones (TZDs) in that it inhibits the differentiation of preadipocytes, reduces accumulation of lipid droplets, and lowers triglyceride levels.<sup>32–34</sup> Unlike TZDs, which may lead to weight gain, berberine may be better suited for insulin-resistant and obese patients with diabetes.

## **BLOOD LIPIDS**

Berberine has been shown to reduce plasma cholesterol and triglyceride (TG) levels in both human and animal trials. The mechanism of action appears to be downregulation of low-density lipoprotein cholesterol (LDL-C) by upregulation of LDL receptor (LDLR) expression. This mechanism is distinct from how statins work but comparable in effect.<sup>35,36</sup> In addition to upregulating LDLR expression, berberine reduces cholesterol levels by inhibiting cholesterol absorption and promoting its excretion (Figure 5). Berberine treatment (50–150 mg/kg) in atherogenic rats reduced total cholesterol (TC) by 29%–33% and non–high-density lipoprotein (non-HDL) by 31%–41%. It also reduced the absorption rate of fractional dietary cholesterol by 40%–51%.<sup>37</sup> These findings point to the connection between plasma TC or non-HDL levels and cholesterol absorption rates, owing to the decrease of enterocyte cholesterol uptake and secretion.

Berberine's lipid-lowering effect is also likely due to the promotion of cholesterol excretion from the liver into the bile. This was demonstrated in a study of hyperlipidemic hamsters treated with either 50 or 100 mg/kg berberine. A gradual decrease in liver cholesterol levels and an increase in bile cholesterol levels was observed at both doses.<sup>38</sup> Another experiment using hyperlipidemic hamsters demonstrated that berberine and plant stanols worked synergistically to inhibit cholesterol absorption more effectively than berberine or plant stanols alone.<sup>39</sup>

The hypolipidemic action of berberine appears to be enhanced when it is combined with policosanols and red yeast rice.<sup>40</sup> The efficacy of this same combination was confirmed in a double-blind, placebo-controlled trial in which a significant reduction of total LDL-C and TG was seen in participants with hypercholesterolemia.<sup>19</sup> It is important to note that, compared with simvastatin therapy, which has been shown to maximally

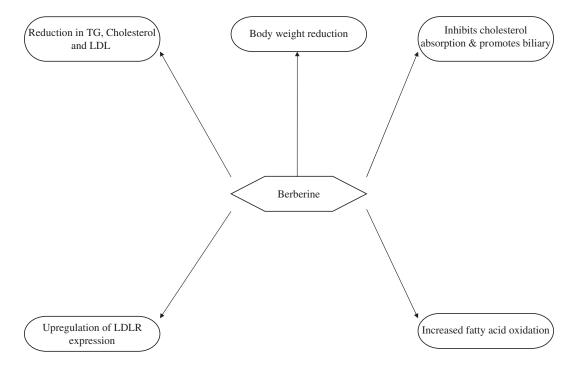


Figure 5: Antihyperlipidemic actions of berberine.

reduce LDL-C to 60%, the effect of berberine alone appears to be moderate.<sup>41</sup>

## **CONGESTIVE HEART FAILURE**

In a double-blind, placebo-controlled study, participants with congestive heart failure (CHF) who received berberine at a dose of 1.2–2.0 g/day showed significant improvement in a 6-minute walking distance, left ventricular ejection fraction, ventricular premature complexes, and nonsustained ventricular tachycardia at the end of 8 weeks of treatment and at 24-month follow-up. No side effects were reported. In addition, total mortality in the berberine treatment group was 8.8% compared with 16.4% for control subjects.<sup>42</sup> Acute infusion of berberine at a rate of 0.2 mg/kg/min was found to improve hemodynamics in patients with heart failure who were refractory to digitalis and diuretics. Specifically, it reduced systemic and pulmonary vascular resistance and left ventricular end-diastolic pressure.43

In a rat model of cardiac hypertrophy, animals administered berberine at a dose of 10 mg/kg body weight for 8 weeks beginning 4 weeks after aortic banding had statistically significant reductions in whole-heart and left ventricle size, as well as decreased left ventricular end-diastolic pressure, compared with controls.<sup>44</sup> In a dog model of cardiac ischemia, intravenous administration of berberine (1 mg/kg within 3 minutes of left ventricular failure) followed by a constant infusion (0.2 mg/kg/min for 30 minutes) over 10 days improved cardiac output. Berberine also decreased left ventricular end-diastolic pressure, diastolic blood pressure, and systemic vascular resistance, but it did not affect heart rate. This suggests that berberine may be able to improve impaired left ventricular function by exerting positive ionotropic effects and mild systemic vasodilation.<sup>45</sup>

# INTERACTIONS, ADVERSE EFFECTS, AND SAFETY ISSUES

Berberine is generally well tolerated in therapeutic doses, with rare adverse events reported. High doses of berberine may cause arterial hypotension, dyspnea, flulike symptoms, gastrointestinal discomfort, constipation, cardiac damage, and gastric lesions.<sup>46</sup> An experimental study using rats found that daily intraperitoneal administration of berberine in doses of 10 and 20 µg/g for 1 week displaced bilirubin from albumin.47 Any berberine-containing herb therefore should be avoided in large doses in jaundiced infants and pregnant women due to the risk of bilirubin-induced brain damage. Berberine can displace warfarin, thiopental, and tolbutamide from their binding sites, increasing their levels in the plasma.<sup>48</sup> Allergic reactions have been reported after intravenous administration of berberine.49

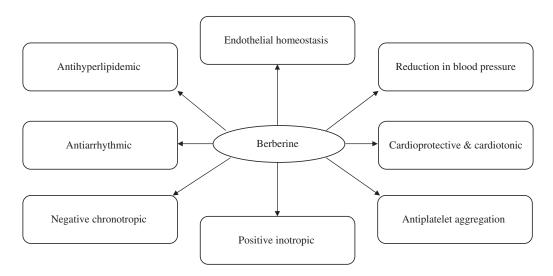


Figure 6: Summary of the actions of berberine.

## CONCLUSION

The cardiovascular effects of berberine appear to be mediated through the AMPK cascade, which is the main pathway implicated in cardiovascular and metabolic disorders. By restoring endothelial homeostasis and reducing blood pressure, berberine acts as a potent antihypertensive and cardioprotective agent. Many studies indicate that berberine can reduce blood glucose, hemoglobin A1c, and insulin levels in patients with type 2 diabetes. It may also improve insulin resistance and reduce body weight. As a result, berberine is a promising addition to the toolbox of therapies for treating type 2 diabetes and metabolic syndrome.

Berberine's safety profile and the favorable results from combination therapy support its use in patients with mild hyperlipidemia, as well as for patients who do not tolerate statins or do not achieve therapeutic

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goals. Clinical trials of human subjects with type 2 diabetes, metabolic syndrome, or CHF suggest that a safe and effective dosage range for berberine is between 0.5 and 1.5 g/day, usually in divided doses.<sup>30,50,51</sup> In clinical practice, this dosage range may vary if the whole berberine-containing plant is used and with different extracts or concentrations. The actions of berberine are summarized in Figure 6.

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#### **COMPETING INTERESTS**

The authors declare they have no competing interests.

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